VIET NAM FINANCIAL INVESTMENT SECURITIES CORPORATION	SOCIALIST REPUBLIC OF VIETNAM Independence – Freedom - Happiness
No: 01/2026/NQ/DHDCD-VISC	Phu Tho, April 15, 2026

RESOLUTION

The 2026 Annual General Meeting of Shareholders of Viet Nam Financial Investment Securities Corporation

GENERAL MEETING OF SHAREHOLDERS OF

VIET NAM FINANCIAL INVESTMENT SECURITIES CORPORATION

Pursuant to the Law on Enterprises No. 59/2020/QH14 passed by the National Assembly of the Socialist Republic of Vietnam on June 17, 2020 and its guiding documents;

Pursuant to the Charter of Viet Nam Financial Investment Securities Corporation;

Pursuant to the meeting minutes and documents of the Annual General Meeting of Shareholders in 2026 of Viet Nam Financial Investment Securities Corporation dated April 15, 2026.

DOES HEREBY RESOLVE:

Article 1. The Annual General Meeting of Shareholders in 2026 of Viet Nam Financial Investment Securities Corporation approves the following contents:

1. Report on the 2025 business results and the 2026 plan business from the General Director Board according to Report No. 01/2026/BC/BTGD-VISC dated 24/03/2026.
2. Report of the Board of Director on Activities in 2025 and Operational Directions in 2026 according to Report No. 02/2026/BC/HDQT-VISC dated 24/03/2026.
3. Report of the Board of Supervisors on income, and performance of the Board of Directors, Board of Management according to Report No. 03/BC/BKS-VISC dated 24/03/2026.
4. Proposal for approval of remuneration for the Board of Directors and the Board of Supervisors for 2025 and the remuneration plan for 2026 according to Proposal No. 04/TTr-VISC dated 24/03/2026.
5. Proposal for approval of the audited financial statements for 2025 according to Proposal No. 05/TTr-VISC dated 26/03/2026.
6. Proposal for selection of the auditing firm for the financial statements in 2026 according to Proposal No. 06/TTr-VISC dated 26/03/2026.
7. Proposal for profit distribution of profits for 2025 and the profit distribution plan

for 2026 according to Proposal No. 07/2026/TTr-VISC dated 24/03/2026.

8. Proposal for the private placement of shares according to Proposal No. 08/2026/TTr-VISC dated 24/03/2026.

9. Proposal for change of the Company's legal representative, the head office, and amendment to the Charter according to Proposal No. 09/2026/TTr-VISC dated 24/03/2026.

10. Proposal for dismissal and and additional election of a replacement member of the Board of Directors for the 2023–2028 term according to Proposal No. 10/2026/TTr-VISC dated 24/03/2026. As follows:

- Dismissal of Mr. Nguyen Xuan Bieu from the position of Member of the Board of Directors
- According to the results of the election, the elected member of the Board of Directors for the 2023–2028 term, effective from April 15, 2026, is Mr. Ho Ngoc Hai.

11. Proposal for approval of several other matters within the authority of the 2026 Annual General Meeting of Shareholders according to Proposal No.11/2026/TTr-VISC dated 24/03/2026.

Article 2. The Annual General Meeting of Shareholders of Viet Nam Financial Investment Securities Corporation authorized the Board of Directors to implement the above contents in accordance with the Company's regulations and current laws.

Article 3. The Board of Directors, the Board of Supervisors, the Executive Board, Heads of Departments/Divisions/Units, and related individuals shall be responsible for executing this Resolution.

Article 4. This Resolution was fully approved by the Annual General Meeting of Shareholders in 2026 of Viet Nam Financial Investment Securities Corporation at the meeting held on April 15, 2026 and shall take effect from the date of signing.

Recipients:
- State Securities Commission of Vietnam, HNX, HNX;
- Board of Supervisors (for monitoring);
- BOD, Executive Board (for implementing);
- Archives: Filing.

ON BEHALF OF GENERAL MEETING OF SHAREHOLDERS
CHAIRMAN

CÔNG TY CỔ PHẦN CHỨNG KHOÁN ĐẦU TƯ TÀI CHÍNH VIỆT NAM

Nguyen Phuc Long

VIET NAM FINANCIAL INVESTMENT SECURITIES CORPORATION	**SOCIALIST REPUBLIC OF VIETNAM**
No: 01/2026/BBH/DHDCD-VISC	**Independence – Freedom - Happiness**
	Phu Tho, 15/04/2026

MINUTES OF MEETING

ANNUAL GENERAL MEETING OF SHAREHOLDERS IN 2026 OF VIET NAM FINANCIAL INVESTMENT SECURITIES CORPORATION

I. INFORMATION OF COMPANY

Name of company: Viet Nam Financial Investment Securities Corporation ("VISC").

Head office address: 1st Floor and 8th Floor, Block B, Song Da Building, Pham Hung Street, Tu Liem Ward, Hanoi City, Vietnam

Business registration certificate No: 0102614143 first issued by the Business Registration and Corporate Finance Division – Hanoi Department of Finance on January 11, 2008, and its subsequent amendments.

II. TIME AND VENUE OF THE GENERAL MEETING

Time: 09:00 AM, Wednesday, April 15, 2026

Venue: Vuon Vua Resort & Villas, Tu Vu Commune, Phu Tho Province

III. PARTICIPANTS

1. Shareholders of Viet Nam Financial Investment Securities Corporation.

2. Representatives of Viet Nam Financial Investment Securities Corporation:

- The Board of Directors of the Company consists of:

- Mr. Nguyen Phuc Long : Chairman of Board of Directors
- Mr. Duong Quang Trung : Vice Chairman of the Board of Directors/General Director
- Ms. Dao Thi Thanh : Member of the Board of Directors
- Mr. Nguyen Viet Viet : Member of the Board of Directors
- Mr. Nguyen Xuan Bieu : Member of the Board of Directors

- The Supervisory Board of the Company consists of:

- Ms. Pham Thi Tu Anh : Head of Board of Supervisors
- Ms. Vu Thi Thanh Hai : Member of the Supervisory Board
- Ms. Nguyen Thi Kim Oanh : Member of the Supervisory Board

- Board of Management) of the Company consists of:

- Ms. Pham Thi Hong Nhung : Deputy General Director
- Ms. Ta Anh Quang : Deputy General Director

3. Employees of Viet Nam Financial Investment Securities Corporation.

IV. PROCEEDINGS OF THE GENERAL MEETING

1. Verification of Conditions for Convening the General Meeting:

To ensure the General Meeting is conducted in full compliance with regulations, Ms. Nguyen Thi Hong Hanh – Head of the Shareholders Eligibility Committee, presented the Shareholders Eligibility Report, confirming that at 09:00 am, 37 shareholders and/or authorized representatives were present at the General Meeting, representing 22.663.499 shares out of a total of 45.133.300 voting shares, accounting for 50.21% of the total voting shares of Viet Nam Financial Investment Securities Corporation.

Pursuant to the Law on Enterprises and the Charter of VISC, the Annual General Meeting of Shareholders in 2026 ("GMS") of VISC was duly constituted and eligible to proceed.

At 09:07 am, an additional 03 shareholders arrived, bringing the total number of attending shareholders/authorized representatives to 40, representing 22,666,639 shares out of a total of 45,133,300 voting shares, accounting for 50.22% of the total voting shares of Viet Nam Financial Investment Securities Corporation.

2. The General Meeting of Shareholders unanimously approved the composition of the Presidium, with **100%** of the total voting shares represented at the General Meeting, comprising the following members:

- Mr. Nguyen Phuc Long : Chairman of General Meeting
- Mr. Duong Quang Trung : Member
- Ms. Dao Thi Thanh : Member

3. The Presidium appointed the Secretariat of the General Meeting, consisting of the following members:

- Ms. Le Thi Minh Ngoc : Head

4. The General Meeting of Shareholders unanimously approved the composition of the Vote Counting Committee with **100%** of the total voting shares represented at the General Meeting, comprising the following members:

- Ms. Le Thi Kim Huyen : Head
- Ms: Nguyen Thi Anh Tuyet : Member
- Mr Do Tien Duy : Member

5. To ensure the smooth conduct of the General Meeting, the General Meeting Master of Ceremonies (MC) presented the Regulation on the Organization of the General Meeting.

6. On behalf of the Presidium, Mr. Nguyen Phuc Long – Chairman of the Board of Directors –Chairman presented the agenda of the General Meeting. Accordingly, the Annual General Meeting of Shareholders in 2026 of VISC would include the following specific contents:

a. Report on the 2025 business results and the 2026 business plan from the General Director Board;

b. Report of the Board of Directors on Activities in 2025 and Operational Directions in 2026;

c. Report from the Board of Supervisors on the business results, as well as the performance of the Board of Directors and the General Director Board;

d. Report on the approval of remuneration for the Board of Directors and the Board of Supervisors for 2025 and the Remuneration Plan in 2026;

e. Proposal for approval of the Audited Financial Statements for 2025;

f. Proposal for selection of the auditing firm for the 2026 financial statements;

g. Proposal for profit distribution in 2025 and expected in 2026;

h. Proposal for private placement of shares;

i. Proposal for change of the legal representative, the head office, and the amendment of the Company's Charter;

j. Proposal on the dismissal and additional election of a replacement member of the Board of Directors for the 2023–2028 term;

k. Proposal for approval of several other matters within the authority of the 2026 Annual General Meeting of Shareholders, including: continuing the implementation of the registration for the additional business of securities underwriting, and extending the deadline for submitting dossiers, documents, and papers related to the Debt Purchase and Sale Agreement.

After the presentations, the General Meeting of Shareholders unanimously approved the General Meeting agenda with **100%** of the total voting shares represented in the General Meeting.

V. PRESENTATION OF THE AGENDA ITEMS

1. On behalf of the Board of Directors, Mr. Nguyen Phuc Long, Chairman of the Board of Directors, presented:

- Report of the Board of Directors on Activities in 2025 and Operational Directions in 2026.

2. Mr. Duong Quang Trung, General Director presented:

- Report on the 2025 business results and the 2026 business plan from the General Director Board.

3. On behalf of the Board of Supervisors, Ms. Pham Thi Tu Anh presented:

- Report of the Board of Supervisors on income in 2025 and performance of the Board of Directors, Board of Management;
- Proposal for selection of the auditing firm for the financial statements in 2026.

4. Ms. Dao Thi Thanh – Member of Board of Director presented:

- Proposal for approval of remuneration for the Board of Directors and the Board of Supervisors for 2025 and remuneration plan for 2026;
- Proposal on the dismissal and additional election of a replacement member of the Board of Directors for the 2023–2028 term.

5. Ms. Cao Mai Chi – Chief Accountant of the Company presented:

- Proposal for approval of the Audited Financial Statements for 2025;
- Proposal for profit distribution for 2025 and the projected plan for 2026.

6. Ms. Pham Thi Hong Nhung – Deputy General Director presented:

- Proposal for the private placement of shares;
- Proposal for change of the Company's legal representative, head office, and amendment to the Charter;
- Proposal for dismissal and election of a supplementary member of the Board of Directors for the term of 2023–2028;
- Proposal for approval of other matters under the authority of the Annual General Meeting of Shareholders in 2026, including: continuation of the registration for securities underwriting activities and extension of the submission deadline for documents related to the debt purchase agreement.

7. Mr. Ta Anh Quang – Deputy General Director presented:

- Proposal for private placement of shares.

VI. DISCUSSION AT GENERAL MEETING

Shareholders and authorized representatives attending the General Meeting discussed discussed the contents of the Reports and Proposals presented at the General Meeting.

VII. VOTING AND ELECTION OF MEMBERS OF THE BOARD OF DIRECTORS

1. Approval of the Regulation on the election of additional members of the Board of Directors

The approval of the Regulation on the election of additional members of the Board of Directors for the 2023–2028 term was conducted by raising voting cards.

Result: 100% of shareholders present at the General Meeting approved the Regulation on the election of additional members of the Board of Directors for the 2023–2028 term.

On behalf of the Vote Counting Committee, the Head of the Committee, Ms. Le Thi Kim Huyen, instructed shareholders to proceed with voting on the matters submitted to the General Meeting using a secret ballot method and to elect additional members of the Board of Directors for the 2023–2028 term.

2. Vote counting results for matters approved via the submission of voting ballots

The Vote Counting Committee conducted verification and consolidated the voting results for the agenda items of the Annual General Meeting of Shareholders in 2026 of Viet Nam Financial Investment Securities Corporation, as follows:

Total votes as issued: 40 votes, representing 22.666.639 voting shares

Total votes as collected: 38 votes, representing 22.666.439 voting shares

In which:

- Valid votes: 38 votes, representing 22.666.339 voting shares
- Invalid votes: 01 votes, representing 100 voting shares

a. Report on the 2025 business results and the 2026 business plan from the General Director Board under Report No. 01/2026/BC/BTGD-VISC dated 24/03/2026, as attached.

- Number of votes in favor: 36 votes, representing 22.656.039 shares, accounting for 99.955% of total voting shares of shareholders attending and voting at the General Meeting;
- Number of votes disapprove: 0 votes, representing 0 share, accounting for 0% of total voting shares of shareholders attending and voting at the General Meeting;
- Number of votes with no opinion: 01 votes, representing 0 share, accounting for 0.045% of of total voting shares of shareholders attending and voting at the General Meeting.

b. Report of the Board of Directors' on Activities in 2025 and Operational Directions in 2026 under Report No. 02/2026/BC/BTGD-VISC dated 24/03/2026, as attached.

- Number of votes in favor: 36 votes, representing 22.656.039 shares, accounting for 99.955% of total voting shares of shareholders attending and voting at the General Meeting;
- Number of votes disapprove: 0 votes, representing 0 share, accounting for 0% of total voting shares of shareholders attending and voting at the General Meeting;
- Number of votes with no opinion: 01 votes, representing 0 share, accounting for 0.045% of of total voting shares of shareholders attending and voting at the General Meeting.

c. Report from the Board of Supervisors on the business results, as well as the performance of the Board of Directors and the General Director Board under Report

No. 03/BC/BKS-VISC dated 24/03/2026, as attached.

- Number of votes in favor: 37 votes, representing 22.656.339 shares, accounting for 100% of total voting shares of shareholders attending and voting at the General Meeting;
- Number of votes disapprove: 0 votes, representing 0 share, accounting for 0% of total voting shares of shareholders attending and voting at the General Meeting;
- Number of votes with no opinion: 0 votes, representing 0 share, accounting for 0% of total voting shares of shareholders attending and voting at the General Meeting.

d. Report on the approval of remuneration for the Board of Directors and the Board of Supervisors for 2025 and the Remuneration Plan in 2026 under Report No. 04/BC/BKS-VISC dated 24/03/2026, as attached.

- Number of votes in favor: 37 votes, representing 22.656.339 shares, accounting for 100% of total voting shares of shareholders attending and voting at the General Meeting;
- Number of votes disapprove: 0 votes, representing 0 share, accounting for 0% of total voting shares of shareholders attending and voting at the General Meeting;
- Number of votes with no opinion: 0 votes, representing 0 share, accounting for 0% of total voting shares of shareholders attending and voting at the General Meeting.

e. Proposal for approval of the audited financial statements for 2025 under Proposal No. 05/TTr-VISC dated 24/03/2026, as attached.

- Number of votes in favor: 37 votes, representing 22.656.339 shares, accounting for 100% of total voting shares of shareholders attending and voting at the General Meeting;
- Number of votes disapprove: 0 votes, representing 0 share, accounting for 0% of total voting shares of shareholders attending and voting at the General Meeting;
- Number of votes with no opinion: 0 votes, representing 0 share, accounting for 0% of total voting shares of shareholders attending and voting at the General Meeting.

f. Proposal for selection of the auditing firm for the 2026 financial statements under Proposal No. 06/TTr-VISC dated 24/03/2026, as attached.

- Number of votes in favor: 37 votes, representing 22.656.339 shares, accounting for 100% of total voting shares of shareholders attending and voting at the General Meeting;
- Number of votes disapprove: 0 votes, representing 0 share, accounting for 0% of total voting shares of shareholders attending and voting at the General Meeting;
- Number of votes with no opinion: 0 votes, representing 0 share, accounting for 0% of total voting shares of shareholders attending and voting at the General Meeting.

g. Proposal for profit distribution in 2025 and expected in 2026 under Proposal No. 07/2026/TTr-VISC dated 24/03/2026, as attached.

- Number of votes in favor: 37 votes, representing 22.656.339 shares, accounting for 100% of total voting shares of shareholders attending and voting at the General Meeting;
- Number of votes disapprove: 0 votes, representing 0 share, accounting for 0% of total voting shares of shareholders attending and voting at the General Meeting;

- Number of votes with no opinion: 0 votes, representing 0 share, accounting for 0% of total voting shares of shareholders attending and voting at the General Meeting.

h. Proposal for the private placement of shares under Proposal No. 08/2026/TTr-VISC dated 24/03/2026, as attached.

- Number of votes in favor: 37 votes, representing 22.656.339 shares, accounting for 100% of total voting shares of shareholders attending and voting at the General Meeting;
- Number of votes disapprove: 0 votes, representing 0 share, accounting for 0% of total voting shares of shareholders attending and voting at the General Meeting;
- Number of votes with no opinion: 0 votes, representing 0 share, accounting for 0% of total voting shares of shareholders attending and voting at the General Meeting.

i. Proposal for change of the legal representative, the head office, and the amendment of the Company's Charter under Proposal No. 09/2026/TTr-VISC dated 24/03/2026, as attached.

- Number of votes in favor: 37 votes, representing 22.656.339 shares, accounting for 100% of total voting shares of shareholders attending and voting at the General Meeting;
- Number of votes disapprove: 0 votes, representing 0 share, accounting for 0% of total voting shares of shareholders attending and voting at the General Meeting;
- Number of votes with no opinion: 0 votes, representing 0 share, accounting for 0% of total voting shares of shareholders attending and voting at the General Meeting.

j. Proposal for dismissal and additional election of a replacement member of the Board of Directors for the 2023–2028 term under Proposal No. 10/2026/TTr-VISC dated 24/03/2026, as attached.

- Number of votes in favor: 37 votes, representing 22.656.339 shares, accounting for 100% of total voting shares of shareholders attending and voting at the General Meeting;
- Number of votes disapprove: 0 votes, representing 0 share, accounting for 0% of total voting shares of shareholders attending and voting at the General Meeting;
- Number of votes with no opinion: 0 votes, representing 0 share, accounting for 0% of total voting shares of shareholders attending and voting at the General Meeting.

k. Proposal on other matters under the authority of the Annual General Meeting of Shareholders in 2026 under Proposal No. 11/2026/TTr-VISC dated 24/03/2026, as attached.

- Number of votes in favor: 37 votes, representing 22.656.339 shares, accounting for 100% of total voting shares of shareholders attending and voting at the General Meeting;
- Number of votes disapprove: 0 votes, representing 0 share, accounting for 0% of total voting shares of shareholders attending and voting at the General Meeting;
- Number of votes with no opinion: 0 votes, representing 0 share, accounting for 0% of total voting shares of shareholders attending and voting at the General Meeting.

3. **The vote-counting results for the election of additional members of the Board of Directors for the 2023–2028 term are as follows:**

Total ballots issued: 40 ballots, representing 22,666,639 voting shares

Total ballots collected: 38 ballots, representing 22,666,439 voting shares

In which:

- Valid ballots: 38 ballots, representing 22,666,439 voting shares
- Invalid ballots: 0 ballots, representing 0 voting shares

No.	Full name	Number of votes received	Percentage	Result
1	Mr. Ho Ngoc Hai	22.666.439	100%	Elected

VIII. APPROVAL OF THE MINUTES AND DRAFT RESOLUTIONS OF THE GENERAL MEETING

On behalf of the General Meeting Secretariat, Ms. Le Thi Minh Ngoc presented the Minutes and the Draft Resolutions of the Annual General Meeting of Shareholders in 2026.

The Minutes were accurately and faithfully recorded by the General Meeting Secretariat, were read and approved by the Annual General Meeting of Shareholders with 100% of the total voting shares present at the General Meeting.

The Annual General Meeting of Shareholders in 2026 of Viet Nam Financial Investment Securities Corporation concluded at 11:30 a.m. on the same day.

ON BEHALF OF SECRETARIAT	**ON BEHALF OF PRESIDIUM**
HEAD	**CHAIRMAN**
Le Thi Minh Ngoc	**Nguyen Phuc Long**

Recipients:

- *State Securities Commission of Vietnam, VNX, HNX;*
- *Board of Supervisors (for monitoring);*
- *BOD, Executive Board (for implementing);*
- *Archives: Filing.*